

TARIQ VOHRA SECURITIES (PVT.) LIMITED

PSX TREC HOLDER

IT AND INFORMATION SECURITY REQUIREMENTS FOR THE SELECTION OF SOFTWARE VENDORS AND USAGE OF SOFTWARE BY THE TRE CERTIFICATE HOLDERS:

Tatiq Vohra Securities (Pvt.) Limited shall:

- (a) ensure that the software or application, which means electronic data processing system; excluding network or communications equipment; for the purpose of this clause, used directly or indirectly for the purpose of trading, risk management, clearing and settlement, and preparation and maintenance of books and accounts etc. meet the bare minimum standards/specifications, regular testing including vulnerability assessment and penetration testing and certification requirements prescribed by the Exchange from time to time.
- (b) comply with information technology and information security requirements as prescribed by the Exchange.
- (c) submit to the Exchange an audit report and a certificate of the auditor for appropriateness of necessary controls and safeguards put in place in relation to information security arrangements.
- (d) use the software either procured from the eligible vendors or provided by the Exchange or developed in-house by the software development team of Tatiq Vohra Securities (Pvt.) Limited.
- (e) ensure that the Exchange provided endpoint security and antivirus solution remain installed and operational at all times on all trading terminals.
- (f) ensure that only Exchange certified ancillary software are installed on the trading terminals.